

IFW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Enghardt  
Title: OVERHEAD TRANSFER FLANGE AND SUPPORT FOR SUSPENDING A SUBSTRATE CARRIER  
Serial No.: 10/764,820  
Filed: January 26, 2004

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with fifty-six cited references.

☒ Transmittal and Fax Cover Sheet.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$88.00	\$0.00
Basic Filing Fee				\$790.00	\$0.00
TOTAL FEES					PAID

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:


**Customer # 41161**  
**Dugan & Dugan, PC**  
**55 South Broadway**  
**Tarrytown, NY 10591**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: July 14, 2005

Signature: 

Respectfully submitted,

  
Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081



PATENTS  
8092/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Martin R. Elliott, Robert  
B. Lowrance, Jeffrey C. Hudgens and Eric A.  
Englhardt

Serial No. : 10/764,820

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For : OVERHEAD TRANSFER FLANGE AND SUPPORT FOR  
SUSPENDING A SUBSTRATE CARRIER

Customer No. : 41161

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 3,710,923, Fromme et al.

U.S. Patent No. 3,734,263, Dirks

U.S. Patent No. 3,815,723, Wright et al.

U.S. Patent No. 3,901,376, Dardaine et al.

U.S. Patent No. 3,990,569, Aiuola

U.S. Patent No. 4,029,194, Feurstein et al.

U.S. Patent No. 4,033,403, Seaton et al.

U.S. Patent No. 4,040,302, Katarao  
U.S. Patent No. 4,044,886, Sender  
U.S. Patent No. 4,261,236, Verjux  
U.S. Patent No. 4,266,652, Bald  
U.S. Patent No. 4,340,137, Foster  
U.S. Patent No. 4,450,950, Foote, Jr.  
U.S. Patent No. 4,506,779, Seragnoli  
U.S. Patent No. 4,524,858, Maxey  
U.S. Patent No. 4,549,647, Cosse  
U.S. Patent No. 4,585,126, Paddock et al.  
U.S. Patent No. 4,603,770, Hartness  
U.S. Patent No. 4,667,809, Raybuck  
U.S. Patent No. 4,680,919, Hirama et al.  
U.S. Patent No. 4,693,359, Mattei et al.  
U.S. Patent No. 4,759,439, Hartlepp  
U.S. Patent No. 4,765,453, Bucher  
U.S. Patent No. 4,813,528, Hartlepp  
U.S. Patent No. 4,830,180, Ferguson et al.  
U.S. Patent No. 4,850,102, Hironaka et al.  
U.S. Patent No. 4,854,440, Laube et al.  
U.S. Patent No. 4,869,637, deGroot  
U.S. Patent No. 4,898,373, Newsome  
U.S. Patent No. 5,086,909, Powell, Jr.  
U.S. Patent No. 5,099,896, Ritola

U.S. Patent No. 5,113,992, Sadamori  
U.S. Patent No. 5,123,518, Pfaff  
U.S. Patent No. 3,710,921, Petiet  
U.S. Patent No. 3,722,656, Loomis, Jr. et al.  
U.S. Patent No. 5,135,102, Sjogren et al.  
U.S. Patent No. 5,184,712, Leypold et al.  
U.S. Patent No. 5,667,056, Kimmet  
U.S. Patent No. 5,207,309, Simpkin et al.  
U.S. Patent No. 5,226,211, Jones  
U.S. Patent No. 5,231,926, Williams et al.  
U.S. Patent No. 5,269,119, Tolson  
U.S. Patent No. 5,275,275, Boldrini et al.  
U.S. Patent No. 5,439,091, Mason  
U.S. Patent No. 5,558,198, Juarez  
U.S. Patent No. 5,617,994, McTaggart  
U.S. Patent No. 5,628,614, Pazdernik et al.  
U.S. Patent No. 5,563,327, Buday, Jr. et al.  
U.S. Patent No. 5,823,319, Resnik et al.  
U.S. Patent No. 6,092,979, Miselli  
U.S. Patent No. 6,223,887 B1, Andou  
U.S. Patent No. 6,227,345 B1, Miyamoto  
U.S. Patent No. 6,435,331 B1, Olson et al.  
U.S. Patent No. 6,511,065 B1, Cote et al.  
U.S. Patent No. 6,581,750 B1, Tweedy et al.

Foreign Art Reference No. JP 63020151 A2 (Japan)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

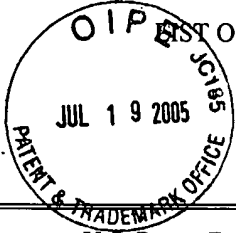
Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Brian M. Dugan', with a long horizontal flourish extending to the right.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: July 14, 2005  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office  					Docket No.: 8092/SYNX/JW		Serial No.: 10/764,820	
LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)					Applicant(s): Michael R. Rice et al.			
					Filing Date: January 26, 2004		Group: 	

U.S. Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	3,710,923	01/16/73	Fromme et al.			
	AB	3,734,263	05/22/73	Dirks			
	AC	3,815,723	06/11/74	Wright et al.			
	AD	3,901,376	08/26/75	Dardaine et al.			
	AE	3,990,569	11/09/76	Aiuola			
	AF	4,029,194	06/14/77	Feurstein et al.			
	AG	4,033,403	07/05/77	Seaton et al.			
	AH	4,040,302	08/09/77	Katarao			
	AI	4,044,886	08/30/77	Sender			
	AJ	4,261,236	04/14/81	Verjux			
	AK	4,266,652	05/12/81	Bald			

Foreign Patent Documents							Translation	
Document Number	Date	Country	Class	Subclass	Yes	No		
AL JP 63020151 A2	01/27/88	Japan			X (Abstract only)			
AM								
AN								
AO								
AP								

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
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AS	
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Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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